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INTELLECTUAL PROPERTY LAW AND RELATED MATTERS

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June 24, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

Serial No.: 10/771,796

Method for Constructing a Wafer-Interposer Assembly

Our File: 1384-1031

Dear Sir:

Enclosed for filing please find the following items relating to the above-identified application:

- (1) Information Disclosure Statement;
- (2) Form PTO/SB/08A;
- (3) Preliminary Amendment Under 37 CFR §1.115; and
- (4) Postcard.

Please file the above and return the date-stamped postcard to our office at the address listed above. In the meantime, if you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Sincerely,

Lawrenge R. Youst Reg. No. 38,795

**Enclosures** 

Date of Deposit: June 24, 2004

CERTIFICATE OF MAILING BY FIRST CLASS MAIL:

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for

Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Lawrence R. Youst



Attorney Docket No.: 1384-1031

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application:

Jerry D. Kline

Serial No.

10/771,796

Filed:

February 2, 2004

Art Unit:

2813

Examiner:

Unassigned

For:

Method for Constructing a Wafer-Interposer Assembly

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

As listed on the accompanying form PTO/SB/08A, submitted herewith are copies of the indicated references which applicant believes may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

# IDENTIFICATION OF TIME OF FILING THE INFORMATION DISCLOSURE STATEMENT

- [X] This Information Disclosure Statement is being filed within three months of the filing date of the national application other than a continued prosecution application under §1.53(d) or within three months of the date of entry of the national stage as set forth in §1.491 in an international application or before the mailing date of a first Office Action on the merits or before the mailing of a first Office Action after the filing of a request for continued examination under §1.114. (37 CFR §1.97(b)).
- [] This Information Disclosure Statement is being filed after the period specified in 37 CFR §1.97(b) but before the mailing date of a final action under §1.113 or a notice of allowance under §1.311 or an action that otherwise closes the prosecution in the application. (37 CFR §1.97(c)).

### **FEE PAYMENT**

- [ ] attached is a check in the amount of \$180.00
- [ ] charge Deposit Account No. 03-1130 \$180.00
- [X] Applicant believes no additional fees are due for the filing of this IDS. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. 03-1130.

Dated this 24th day of June, 2004.

Respectfully Submitted,

Lawrence K. Youst

Attorney for Applicant and Assignee

Registration No.: 38,795

Danamraj & Youst, P.C. 5910 N. Central Expressway Suite 1450 Dallas, TX 75206 (214) 363-4266

(214) 363-8177 (FAX)

PTO/SB/08A (02-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

February 2, 2004

10/771,796

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

First Named Inventor Jerry D. Kline Art Unit 2813 (Use as many sheets as necessary) **Examiner Name** Unassigned Attorney Docket Number 1384-1031 Sheet |1

Application Number

Filing Date

U. S. PATENT DOCUMENTS Pages, Columns, Lines, Where Document Number Publication Date Name of Patentee or Examiner Applicant of Cited Document MM-DD-YYYY Relevant Passages or Relevant Number-Kind Code<sup>2 (f known)</sup> Figures Appear <del>US-</del> 3,939,558 02-24-1976 Riley <sup>US-</sup> 4,577,214 03-18-1986 Schaper US-4,617,730 10-21-1986 Geldermans et al. <sup>US-</sup> 4,628,411 12-09-1986 Balceres et al. <sup>US-</sup> 4,688,151 08-18-1987 Kraus et al. US-4,868,712 09-19-1989 Woodman US-4,998,885 03-12-1991 Beaman US-5,016,138 05-14-1991 Woodman Casto et al. US-5,060,052 10-22-1991 <sup>US-</sup> 5,065,227 11-12-1991 Frankeny US-5,068,558 Grube et al. 02-11-1992 US-5,123,850 Elder et al. 06-23-1992 <sup>US-</sup> 5,222,014 06-22-1993 Lin US-5,309,021 05-03-1994 Shimamoto et al. US-5,327,325 07-05-1994 Nicewarner, Jr. US-5,371,654 12-06-1994 Beaman et al. Beugebauer et al. <sup>US-</sup> 5,384,691 01-24-1995 Dasse et al. <sup>US-</sup> 5,399,505 03-21-1995 <sup>US-</sup> 5.399,898 03-21-1995 Rostoker

		FORE	<u>IGN PATENT DOCU</u>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Jerry D. Kline

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First Named Inventor

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

Art Unit 2813 **Examiner Name** Unassigned Attorney Docket Number 1384-1031

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,410,259	04-25-1995	Fujihara et al.	
		<sup>US-</sup> 5,440,241	08-08-1995	King et al.	
		<sup>US-</sup> 5,483,421	01-09-1996	Gedney et al.	
		<sup>US-</sup> 5,489,804	02-06-1996	Pasch	
		<sup>US-</sup> 5,491,612	02-13-1996	Nicewarner, Jr.	
		<sup>US-</sup> 5,497,079	03-05-1996	Yamada et al.	
		<sup>US-</sup> 5,504,369	02-02-1996	Dasse et al.	
		<sup>US-</sup> 5,531,022	07-02-1996	Beaman et al.	
		<sup>US-</sup> 5,544,017	08-06-1996	Beilin et al.	
		<sup>US-</sup> 5,548,223	08-20-1996	Cole et al.	
		<sup>US-</sup> 5,570,032	10-29-1996	Atkins et al.	
		<sup>US-</sup> 5,579,207	11-26-1996	Hayden et al.	
		<sup>US-</sup> 5,594,273	01-14-1997	Dasse et al.	
		<sup>US-</sup> 5,600,541	02-04-1997	Bone et al.	
		<sup>US-</sup> 5,612,575	03-18-1997	DeGimy	
		<sup>US-</sup> 5,615,089	03-25-1997	Yoneda et al.	
		<sup>US-</sup> 5,635,101	06-03-1997	Pepe et al.	
		<sup>US-</sup> 5,637,920	06-10-1997	Loo	
		<sup>US-</sup> 5,654,588	05-03-1997	Dasse et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/771,796 Filing Date February 2, 2004 INFORMATION DISCLOSURE Jerry D. Kline First Named Inventor STATEMENT BY APPLICANT Art Unit 2813 (Use as many sheets as necessary) **Examiner Name** Unassigned 1384-1031 Sheet 3 Attorney Docket Number

				DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	Number-Kind Code <sup>2 (F known)</sup> US- 5,655,290	08-12-1997	Moresco et al.	
	-	US- 5,701,666	12-30-1997	DeHaven et al.	<del></del>
	<u> </u>	US- 5,759,047	06-02-1998	Brodsky et al.	
	<del> </del>	US- 5,789,807	08-04-1998	Correale, Jr.	
	<u> </u>	US- 5,796,746	08-18-1998	Farnworth et al.	
	<del>                                     </del>	US- 5,798,652	08-25-1998	Traci	
	<del> </del>	US- 5,802,713	09-08-1998	Deamer	
<del></del>		US- 5,838,060	12-17-1998	Comer	
	t	<sup>US-</sup> 5,838,072	11-17-1998	Li et al.	
		<sup>US-</sup> 5,844,803	12-01-1998	Beffa	
		<sup>US-</sup> 5,854,507	12-29-1998	Miremadi et al.	
		<sup>US-</sup> 5,892,287	04-06-1999	Hoffman et al.	
		<sup>US-</sup> 5,897,326	04-27-1999	Eldridge et al.	
		<sup>US-</sup> 5,929,651	07-27-1999	Leas et al.	
		<sup>US-</sup> 5,936,847	08-10-1999	Kazle	
		<sup>US-</sup> 5,942,246	08-24-1999	Mayhew et al.	
		<sup>US-</sup> 5,943,213	08-24-1999	Sasov	
		<sup>US-</sup> 5,959,462	09-28-1999	Lum	·
		<sup>US-</sup> 5,977,640	11-02-1999	Bertin et al.	

		FORE	<u>IGN PATENT DOCU</u>	MENTS		
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Substitute for form 1449/PTO	Complete if Known		
Substitute for form 11101110	Application Number	10/771,796	
INFORMATION DISCLOSURE	Filing Date	February 2, 2004	
INFORMATION DISCLOSURE	First Named Inventor	Jerry D. Kline	
STATEMENT BY APPLICANT	Art Unit	2813	
(Use as many sheets as necessary)	Examiner Name	Unassigned	
neet 4 of 7	Attorney Docket Number	1384-1031	

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		US- 5,984,691	11-16-1999	Brodsky et al.	
	<u> </u>	<sup>US-</sup> 6,002,178	12-14-1999	Lin	
		<sup>US-</sup> 6,024,275	02-15-2000	Takiar	
		<sup>US-</sup> 6,032,356	03-07-2000	Eldridge et al.	
		<sup>US-</sup> 6,034,332	03-07-2000	Moresco et al.	
		<sup>US-</sup> 6,046,600	04-04-2000	Whetsel	
		<sup>US-</sup> 6,049,467	04-11-2000	Tamarkin et al.	
		<sup>US-</sup> 6,050,829	04-18-2000	Eldridge et al.	
		<sup>US-</sup> 6,053,395	04-25-2000	Sasaki	
		<sup>US-</sup> 6,064,213	05-16-2000	Khandros et al.	
		<sup>US-</sup> 6,069,026	05-30-2000	Terrill et al.	
		<sup>US-</sup> 6,080,264	06-27-2000	Ball	
		<sup>US-</sup> 6,080,494	06-27-2000	Abbott	
		<sup>US-</sup> 6,082,610	07-04-2000	Shangguan et al.	
		US- 6,083,773	07-04-2000	Lake	
		<sup>US-</sup> 6,098,278	08-08-2000	Vindasius et al.	
		<sup>US-</sup> 6,101,100	08-08-2000	Londa	
		<sup>US-</sup> 6,133,070	10-17-2000	Yagi et al.	
		<sup>US-</sup> 6,136,681	10-24-2000	Razon et al.	

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
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				DOCUMENTS	
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		<sup>US-</sup> 6,154,371	11-28-2000	Oba et al.	
		<sup>US-</sup> 6,242,279	06-05-2001	Ho et al.	
		<sup>US-</sup> 6,246,247	06-01-2001	Eldridge et al.	
	Ĭ	<sup>US-</sup> 6,281,046	08-28-2001	Lam	
		<sup>US-</sup> 6,303,992	10-16-2001	Van Pham et al.	
		<sup>US-</sup> 6,313,522	11-06-2001	Akram et al.	
		<sup>US-</sup> 6,319,829	11-20-2001	Pasco et al.	
		<sup>US-</sup> 6,432,744	08-13-2002	Amador et al.	
		<sup>US-</sup> 6,440,771	08-27-2002	Pierce	
		<sup>US-</sup> 6,524,885	02-25-2003	Pierce	
		<sup>US-</sup> 6,529,022	03-04-2003	Pierce	
		<sup>US-</sup> 5,477,160	12-19-1995	Love	
		<sup>US-</sup> 5,132,613	07-21-1992	Papae et al.	
		<sup>US-</sup> 5,794,175	08-11-1998	Conner	
		<sup>US-</sup> 5,764,071	06-09-1998	Chan et al.	
		<sup>US-</sup> 6,218,910	04-17-2001	Miller	
		<sup>US-</sup> 6,242,932	06-05-2001	Hembree	
		<sup>US-</sup> 6,275,051	08-14-2001	Bachelder et al.	
		<sup>US-</sup> 6,483,330	11-19-2002	Kline	

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=		<sup>US-</sup> 6,483,043	11-19-2002	Kline	
		<sup>US-</sup> 5,148,265	09-15-1992	Khandros et al.	
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2813 (Use as many sheets as necessary) **Examiner Name** Unassigned 1384-1031 Attorney Docket Number

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